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## ACCOUNTING SYSTEM AND METHOD FOR CASINO GAME REVENUE

## BACKGROUND OF THE INVENTION

1. Field of the Invention:

This invention provides for a system of recording the transactions entering into the computation of a casinos table game revenue/win or loss at the time a players cash or IOU, also referred to as a marker, is inserted into a drop box at a gaming table. Specifically, the invention relates to a computer system and method for accounting for the flow of cash funds or credit from a player to the casino in exchange for the casinos gaming chips at the casino's gaming tables. The invention is suitable for or adaptable to 21 or blackjack, craps and other table games such as roulette.

2. Description of the Related Art:

Currently casinos generally perform a count of the contents of all of the drop boxes of the entire casino at the end of the three eight-hour shifts that make up the casino's day for accounting purposes. The drop boxes would have been removed from the their position fastened to the various gaming tables throughout the casino and transferred to a count room where the count would take place. The transactions occurring at the gaming tables between a player and the casino (other than the betting transactions) that ultimately determine a table game's win or loss are those involving

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1 the exchange of the casino's gaming chips and the player's cash or  
2 credit. These transactions are standard to the gaming industry and  
3 will generally be the same from casino to casino. The central issue  
4 to this invention is that currently all casinos determine table  
5 game revenue after the fact from the results of the count in the  
6 count room at the end of the casino's day for accounting purposes.  
7 The determination of gaming win or loss is the simple accounting  
8 inventory process of measuring or accounting for the assets on hand  
9 at each gaming table at the end of a period compared to those on  
10 hand as measured and accounted for at the beginning of that period.  
11 This process is described in the following paragraphs.

12 Beginning inventory: At the beginning of each shift each  
13 table's chips and coin are counted and recorded on a table  
14 inventory form. A copy of the inventory form is inserted in the  
15 drop box of the table.

16 Exchange of cash for chips: A player tenders cash in exchange  
17 for chips by placing the tendered cash on the gaming table. The  
18 dealer takes the cash, removes the equivalent amount of chips from  
19 the chip rack, slides them to the player, inserts the cash in the  
20 drop box and the player enters into the betting transactions of the  
21 game.

22 Exchange of credit for chips: A player with pre-established  
23 credit comes to a gaming table and asks for credit. After proper  
24 authorization a supervisory person prepares a pre-numbered marker  
25 form in at least triplicate form in the amount of credit requested.

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1 The three parts are (a) Original, (b) Issue copy, and (c) Payment  
2 copy. The marker form is signed by the player receiving the credit  
3 and the casino individual who approves the extension of credit.

4 The original and the payment copy are retained in the pit by  
5 the authorizing individual and the issue copy is signed or  
6 initialed by the dealer. The dealer then slides chips in an amount  
7 equivalent to the amount of credit entered on the marker to the  
8 player and inserts the issue copy in the drop box.

9 Repayment of credit at a table: When a marker is paid in full  
10 at a table, the payment copy will be annotated to include the  
11 nature of the payment (cash, chips. etc.), the amount of payment,  
12 and the table number at which the payment is received. It will be  
13 signed by the pit supervisor acknowledging the payment and the  
14 dealer receiving the payment. The dealer will then place the chips  
15 in the chip rack, if paid by chips, or insert the cash in the drop  
16 box if paid in cash. The dealer will then insert the payment copy  
17 in the drop box.

18 When partial payments are made at a table, a new marker is  
19 completed reflecting the remaining balance and the marker number of  
20 the marker originally issued. After proper signing or initialing,  
21 the dealer inserts the issue copy of the partial payment marker in  
22 the drop box.

23 Transfer of chips from cashier's cage to a table: Transfers  
24 from the cashier's cage to a table (fills) are initiated by a pit  
25 supervisor through a request communicated to the cashier's cage.

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1 Fill slips are pre-numbered and are prepared in triplicate parts.  
2 One part is transferred to the table with the amount of chips  
3 requested. The chips are placed in the chip rack by the dealer.  
4 After verification by the dealer the fill slip is signed or  
5 initialed and inserted into the drop box.

6 Transfers of chips from a table to the cashier's cage:  
7 Generally an order for the transfer of chips from a table to the  
8 cashier's cage is initiated by a pit supervisor. The order is sent  
9 to the cashier's cage where a transfer slip (credit slip) is  
10 prepared in triplicate in the amount of the prospective transfer of  
11 chips from a table. One part of the credit slip is transported to  
12 the table. After verification of the amount the dealer removes the  
13 appropriate amount of chips from the table chip rack and they are  
14 transported to the cashier's cage by the appropriate casino person.  
15 After the credit slip has been signed of initiated, the dealer  
16 inserts it in the drop box.

17 Shift closing procedures: At the end of each shift each  
18 table's chips and coins are again counted and recorded on a table  
19 inventory form and inserted in the table's drop box.

20 Concurrently with the table inventory, all locked drop boxes  
21 are removed from the tables by an authorized individual and are  
22 replaced by empty drop boxes to be utilized for the next shift.

23 The removed drop boxes are transported directly to a count  
24 room or other secure place and locked in a secure manner until the  
25 count takes place.

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Counting and recording procedures: At the end of the three shifts which comprise the casino's day for accounting purposes the contents of each drop box are counted and the results are entered on count sheets. The count sheets are then transferred to the accounting department where the day's win or loss is recorded in the casino's accounting records.

This process can be illustrated by a hypothetical win of \$120,000 at typical table as determined by the counting and recording of the contents of the drop box at the end of the casino's day for accounting purposes. The count of the contents of the drop box reveals the following:

Assets on hand, end of shift:

Inventory of chips and coin, end of shift	\$ 20,000
Cash per count	\$ 100,000
Credit slips for transfers of chips to cashier	\$ 10,000
Markers	<u>10,000</u>
Total assets included in count of drop box:	\$ <u>140,000</u>

Assets on hand, beginning of shift (or added during shift):

Inventory of chips and coin	\$ 10,000
Fill slips for transfers from the cashiers cage	\$ 5,000
Markers repaid at the table	<u>\$ 5,000</u>
Total assets, beginning of shift or added during shift:	\$ <u>20,000</u>
Win of table for the shift:	<u>\$120,000</u>

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1 This hypothetical table win for a hypothetical shift  
2 illustrates that a casino's daily win or loss is determined by  
3 counting and tabulating the contents of each drop box of each  
4 gaming table. The current system is dependent entirely on the  
5 integrity of the count and the recordation of the contents of the  
6 drop boxes. No independent record of the table transactions is  
7 maintained in such a manner as to permit a predetermination of the  
8 results of the transactions at a gaming table. The current system  
9 depends entirely on the human element. i. e., the integrity of the  
10 workers conducting the transactions, and internal controls  
11 utilizing the people watching people concept. There is no  
12 automated, independent means or recording and monitoring table game  
13 transactions.

14 Therefore, a need exists to provide a more reliable and  
15 precise accounting system and method which is capable of providing  
16 real time information as to table game transactions, win/loss  
17 information and trends, and providing a predetermined accounting of  
18 the contents of each gaming table's drop boxes before the contents  
19 of the drop box is counted.

## BRIEF SUMMARY OF THE INVENTION

22 It is an object of the present invention to provide a system  
23 and method for entering transaction data that occurs in relation to  
24 a gaming table.

25 It is another object of the present invention to provide a

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1 system and method for tabulating the entered transaction data for  
2 comparison to the inventory of gaming chips and receipts and cash  
3 deposited in a gaming table lock box.

4 In accordance with one embodiment of the present invention, a  
5 casino gaming table accounting system comprises a central computer  
6 and a plurality of gaming terminals coupled to the central computer  
7 where each of the plurality of gaming terminals is located in the  
8 proximity of a gaming table. Each of the plurality of gaming  
9 terminals comprises a data entry device for entering transaction  
10 data for transactions that occur at the gaming table; a display for  
11 displaying the entered transaction data; and at least one I/O port  
12 for transmitting data to the central computer. The gaming terminal  
13 is essentially a dumb device that simply transmits data to the  
14 central computer and has little, if any, processing capability.

15 In accordance with another embodiment of the present  
16 invention, a casino gaming table accounting system comprises a  
17 central router and/or server and a plurality of gaming computers  
18 coupled to the central router and/or server where each of the  
19 plurality of gaming terminals is located in the proximity of a  
20 gaming table. Each of the plurality of gaming computers  
21 comprises a data entry device for entering transaction data for  
22 transactions that occur at the gaming table; a display for  
23 displaying the entered transaction data; a processor for executing  
24 a software program wherein the processor reads and stores the  
25 entered transaction data; a memory array for storing the entered

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1 transaction data; and at least one I/O port for transmitting and  
2 receiving data from the central router and/or server.

3 In still another embodiment the gaming computer described  
4 above can act as a stand alone, non-networked device. In this  
5 embodiment, data is entered and tabulated on a gaming computer  
6 located in the proximity of a gaming table. The raw and tabulated  
7 data may be displayed on the gaming computer or may be stored on a  
8 diskette or other peripheral device for access by another system.

9 A method for casino gaming table accounting comprises the  
10 steps of providing a central computer and providing a plurality of  
11 gaming terminals coupled to the central computer wherein each of  
12 the plurality of gaming terminals is located in the proximity of a  
13 gaming table.

14 Another method for casino gaming table accounting comprises  
15 the steps of providing a central router and/or server and providing  
16 a plurality of gaming computers coupled to the central router  
17 and/or server wherein each of the plurality of gaming terminals is  
18 located in the proximity of a gaming table.

19 Still another method for casino gaming accounting comprises  
20 the steps of providing at least one gaming computer located in the  
21 proximity of a gaming table wherein each of the at least one gaming  
22 computers comprises the steps of providing a data entry device for  
23 entering transaction data for transactions that occur at the gaming  
24 table; providing a display for displaying the entered transaction  
25 data; providing a processor for executing a software program



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1 wherein the processor reads and stores the entered transaction  
2 data; providing a memory array for storing the entered transaction  
3 data; and providing at least one peripheral device for outputting  
4 the entered transaction data.

5 The foregoing and other objects, features, and advantages of  
6 the invention will be apparent from the following, more particular,  
7 description of the preferred embodiments of the invention, as  
8 illustrated in the accompanying drawings.

9  
10 BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

11 Figure 1 is a system block diagram of one embodiment of the  
12 present invention illustrating a computer network comprised of a  
13 central computer such as a mainframe, a mini-computer or other type  
14 of computer and a plurality of remote dumb gaming terminals and  
15 peripheral devices.

16 Figure 2 is a system block diagram of a second embodiment of  
17 the present invention illustrating a computer network comprised of  
18 a central server and/or router and a plurality of remote gaming  
19 computers and peripheral devices.

20 Figure 3 is a block diagram of the functions required for a  
21 dumb terminal for the network embodiment of Figure 1.

22 Figure 3A is a front perspective of one embodiment of a dumb  
23 gaming terminal illustrating a typical keyboard, a front and rear  
24 (not visible) LED display, and an I/O connector.

25 Figure 4 is a block diagram of the functions required for a

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1 gaming computer for the network embodiment of Figure 2 or in a  
2 stand-alone embodiment.

3 Figure 5 is a top view of one embodiment of a gaming keyboard  
4 that may be employed at the table with either the gaming terminal  
5 or the gaming computer.

6 Figure 5A is a top view of another embodiment of a gaming  
7 keyboard that may be employed in proximity to a gaming table.

8 Figure 6 is a flow chart of an executive portion of a software  
9 program that maintains records of gaming table transactions.

10 Figure 7 is a flow chart of a subroutine portion of a software  
11 program that maintains records of gaming table transactions.

12 Figure 8 is a flow chart of another subroutine portion of a  
13 software program that maintains records of gaming table  
14 transactions.

#### 15 DETAILED DESCRIPTION OF THE INVENTION

16 Referring to Figure 1, a system block diagram of one  
17 embodiment of the present invention is shown. A gaming computer  
18 network 1 comprised of a central computer 10, such as a mainframe,  
19 a mini-computer or other type of computer, a plurality of remote  
20 dumb gaming terminals 20 and peripheral devices 30.

21 The central computer 10 may be a dedicated computer for  
22 strictly serving the dumb gaming terminals within a particular  
23 casino or a group of casinos. Alternatively, the central computer  
24 10 may operate on a time share basis with other users, gaming or  
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otherwise, as in the case of a mainframe.

The dumb gaming terminals 20 are connected to the central computer 10 by any number of interface technologies including, but not limited to serial and parallel digital ports, modems, wireless communication, etc. The gaming terminals 20 having little, if any, processing capability themselves. In this embodiment, the gaming terminals 20 serve as simple data entry devices. In the preferred embodiment, each gaming table having a lock box (not shown), would have a gaming terminal 20 in close proximity such that the table supervisor could simultaneous manage the table operation and the gaming terminal 20.

Referring to Figure 3, an exemplary block diagram of the type of functions incorporated in a dumb gaming terminal 20 are shown. The dumb gaming terminal 20 comprises a keypad or key board 21, a display 22 which may either be a CRT display or a flat panel display such as an LCD display, and one or more I/O ports 23. The dumb gaming terminal 20 transmits data to the central computer 10 via one or more I/O ports 23.

Referring to Figure 3A, one embodiment of the dumb gaming terminal 20 is illustrated. The keypad 21 for the dumb gaming terminal comprises a ten digit pad on the left side and a multiple button function pad on the right hand side. The multiple button function pad is discussed in detail below. The dumb gaming terminal 20 also has a display, which may be an LED display 22, or other type of display such as LCD. The dumb gaming terminal 20 may

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1 have an LED display 22 on both the front and rear facing sections.  
2 The dumb gaming terminal 20 also has an I/O connector 23 which may  
3 plug into a receptacle at the gaming table for connection to the  
4 system 1 (Figure 1).

5 Referring back to Figure 1, certain peripheral devices 30 are  
6 shown coupled to the dumb gaming terminal 20. These peripheral  
7 devices 30 include other types of data entry devices such as  
8 magnetic card readers for reading credit cards, employee badges,  
9 etc., as well as an optical scanner for reading such items as UPC  
10 codes that may accompany gaming chips. Other peripheral devices 30  
11 may include memory devices such as diskette or magnetic tape  
12 storage.

13 Referring to Figure 2, a system block diagram of a second  
14 embodiment of the present invention illustrating a computer network  
15 2 comprised of a central server and/or router 40, a plurality of  
16 remote gaming computers 50 and peripheral devices 60. The  
17 peripheral devices 60 are similar to those described above for the  
18 previous embodiment. However, the peripheral devices 60 may have  
19 attributes, such as interface requirements, particular to the  
20 gaming terminals 50.

21 The primary task of the central server/router 40 is to  
22 interconnect the network of gaming computers 50. In a simple  
23 networking embodiment, only a central router 40 is required to  
24 permit communication as between gaming computers 50. File storage  
25 and program execution takes place locally at each of the gaming

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1 computers 50.

2       However, in a more complex networking embodiment, a central  
3 server 40 may be implemented primarily for the purpose of storing  
4 common files and software. Each of the networked gaming computers  
5 50 would have access to and could download the data stored on the  
6 central server.

7       The gaming computers 50 are connected to the central  
8 server/router 40 by any number of interface technologies including,  
9 but not limited to serial and parallel digital ports, i.e.  
10 Ethernet, modems, wireless communication, etc. The gaming  
11 computers 50 having full processing capability, as would be found  
12 for example, in personal computers. Thus, the gaming computer 50  
13 not only serves as a data entry device, but also executes a  
14 software program that itemizes, computes and stores all  
15 transactions at the relevant gaming table. In the preferred  
16 embodiment, each gaming table having a lock box (not shown) would  
17 have a gaming computer 50 in close proximity such that the table  
18 supervisor could simultaneous manage the table operation and the  
19 gaming computer 50.

20       Referring to Figure 4, an exemplary block diagram of the type  
21 of functions incorporated in a gaming computer 50 are shown. The  
22 gaming computer 50 comprises a processor or CPU 51 coupled to data  
23 (DM) 52 and program (PM) 53 memory, a keypad or key board 54, a  
24 display 55 which may either be a CRT display or a flat panel  
25 display such as an LCD display, and one or more I/O ports 56. The

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1 gaming computer DM 52 and PM 53 memory may be either volatile or  
2 non-volatile memory or a combination of both.

3 It may be noted here that the gaming computers 50 of Figure 4  
4 may be implemented in a network 2 as shown in Figure 2 or may be  
5 implemented as a stand alone system, i.e. independent ~~X~~ Non-  
6 networked devices. If implemented as a stand alone system, then  
7 there is no requirement for a central server/router 40. Thus, for  
8 a stand alone system, each gaming computer 50 acts independently to  
9 record the transactions at the assigned gaming table.

10 A typical keyboard or keypad (hereinafter keypad) for either  
11 the gaming terminal 20 of Figure 3 or the gaming computer 50 of  
12 Figure 4 is functionally shown in Figure 3A. The keyboard may be  
13 constructed using various technologies, including but not limited  
14 to, membrane, molded plastic, etc.

15 One portion of the keypad is comprised of ten digit numeric  
16 entry keys (0-9). Another portion of the keypad is comprised of  
17 multiple function keys arranged in two columns. The Cash key is  
18 for cash transactions. The Marker key is for entering transactions  
19 related to the issuance of credit. Marker Repay key is for  
20 entering transactions relating to the repayment of credit or  
21 markers. The Inventory key is for entering transactions related to  
22 the inventory of chips. The Accept key is for acknowledging the  
23 previously entered transaction. Another key on the keypad is the  
24 Open Session key, which is used for either the beginning of a  
25 session or the beginning of a dealer's shift. The Close Session

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1 key is used for either the close of a session or the close of a  
2 dealer's shift. If desired, another key can be used (not shown)  
3 for identification of the dealer. The Fill key is used for  
4 transactions related to the transfer of chips from the cashier's  
5 cage to the table. The Credit key is for entering credits for the  
6 transfer of chips to the cashier's cage from the table. The Cancel  
7 key is for canceling previously entered numeric data.

8 Figure 5 depicts one possible location of a keypad of the type  
9 shown in Figure 3A associated with, for example, a "21" or  
10 Blackjack table. Preferably, the keypad is located near the slot  
11 to the lock box, however, if desired, this location can be varied.

12 Figure 5A depicts another type of gaming table (i.e. a crap  
13 table) and one possible location of a keypad near a crap dealer  
14 (not shown).

15 The gaming computer 50 of Figure 2 executes a software program  
16 that records the gaming table transactions. For the embodiment of  
17 Figure 1, the central computer 10 will execute a software program  
18 similar to the one described below. A simplified form of such a  
19 software program 200 is shown in Figures 6, 7 and 8.

20 Referring to Figure 6, when the program 200 initializes  
21 certain variables are set during the start sequence 210. The  
22 program 200 polls the keyboard to determine if a function key has  
23 been selected. The selected function key is read 215. If the  
24 selected key is the Open/Close key 220, the program calls the  
25 subroutine "OC" 280. If any other function key is read 225-245,

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1 then the program calls the subroutine "TYPE" 290. If no function  
2 key has been selected, the display is cleared 250 and the program  
3 loops to poll the keyboard.

4 Referring to Figure 7, the subroutine "OC" 281 processes an  
5 opening or closing of the session. The dealer is prompted for an  
6 ID number 282. After entering the ID number, which appears on the  
7 display, the dealer must accept or cancel the entry 283. If the  
8 session flag is not on 284, which means the opening of a new  
9 session, the flag is toggled to on 285, and the entry is saved as  
10 "open" 286. From this branch, the subroutine returns to the main  
11 program 289. If the session flag is set to on, which means the  
12 session is now to be closed, the flag is toggled off 287, and the  
13 entry is saved as "closed" 288. From this branch, the subroutine  
14 returns to the main program 289.

15 Referring to Figure 8, the subroutine "TYPE" 291 processes the  
16 particular table transaction as selected. The dealer enters the  
17 amount of the transaction 292 which is displayed by the TYPE.  
18 After entry of the amount on the numeric portion of the keypad, the  
19 dealer must accept or cancel the transaction displayed 293 (e.g.  
20 cash, credit, etc.). If the dealer cancels the transaction, the  
21 TYPE and amount are cleared from the display and from memory 197.  
22 From this branch, the subroutine returns to the main program 299.  
23 If the dealer accepts the transaction, the TYPE and amount of the  
24 transaction are stored 298. From this branch, the subroutine  
25 returns to the main program 299.



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1 Furthermore, simple I/O subroutines would permit periodic or  
2 on demand reporting to a central server 40 or casino management  
3 gaming computer 50 in the embodiment of Figure 2.

4           Although the invention has been particularly shown and  
5       described with reference to a preferred embodiment thereof, it will  
6       be understood by those skilled in the art that changes in form and  
7       detail may be made therein without departing from the spirit and  
8       scope of the invention.

[illegible]